

OTPE JC89
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PATENT

Substitute for form 1449A/PTO			Complete If Known	
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	10/051,893
			Filing Date	01/18/2002
			First Named Inventor	Daniel P. Brown
			Group Art Unit	2164
Examiner Name				
Sheet 1 of 2			Attorney Docket Number	4936-CIP

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
<i>ML</i>		US- 6,029,146	02/2000	Hawkins	
<i>ML</i>		US- 6,115,697	09/2000	Gottstein	
<i>ML</i>		US- 6,181,098	12/2000	Wallman	
<i>ML</i>		US- 6,278,983	08/2001	Ball	
<i>ML</i>		US- 6,393,409	05/2002	Young et al.	
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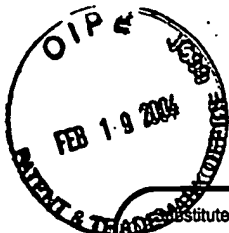
FOREIGN PATENT DOCUMENTS						
Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
<i>ML</i>		JP58-31158	03/1981	Akasaka Group Jimusho		

Examiner Signature	<i>ML</i>	Date Considered	5/8/02
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		Examiner Name			
Sheet	2	of	2	Attorney Docket Number	4936-CIP

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
[Signature]		WILLENS, ROBERT, "IRS Broadens the Definition of Wash Sales: Can Cottage Savings Carry the Day? Note the discussion of wash sale rule changes and the effects on Investing"; CPA Journal, v62, n7, p48, Jul, 1992.	
		Chase (Manhattan Private Bank) - Vogel Consulting Group, S.C., Chase Tax-Efficient Portfolio (TEP), pp. 5-11, Dec. 5, 1998.	

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		Number - Kind Code ² (if known)			
m		US-4,933,842	6/12/1990	Durbin, et al.	
		US- 5,101,353	3/31/1992	Lupien, et al.	
		US- 5,126,938	6/30/92	Champion, et al.	
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		US- 5,193,056	3/9/93	Boes	
		US- 5,214,579	5/25/93	Wolfberg, et al.	
		US- 5,644,727	7/1/97	Atkins	
		US- 5,761,442	6/2/98	Barr, et al.	
		US- 5,774,880	6/30/98	Ginsberg	
		US- 5,806,048	9/8/98	Kiron, et al.	
m		US- 5,819,238	10/6/98	Fernholz	
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<i>RM</i>		OKOH, BENEDICT O., WYNANT, NANCY, Chase (Manhattan Private Bank) - Vogel Consulting Group, S.C., Chase Tax-Efficient Portfolio (TEP), pages 5-11, December 5, 1998	
<i>RM</i>		Fidelity Investments, "Portfolio Basics", www.personal421.fidelity.com, Pages 1-6, Copyright 1998-1999 FMR Corp.	
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